IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No.:

10/766,965

Filing Date:

January 29, 2004

Art Unit:

3624

Confirmation No.:

4387

Examiner:

Unknown

Title:

SYSTEM AND METHOD FOR AVOIDING TRANSACTION

COSTS ASSOCIATED WITH TRADING ORDERS

Mail Stop Amendment

Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. The present application was filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of the U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.

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Date: May 17, 2007

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TO-1449 Information Disclosure Citation In an Application			Application No. 10/766,965		Applicant(s) Thomas J. Daley, et al.			
			Docket Number 069547.0175			Filing Date January 29,	ling Date anuary 29, 2004	
		U.S	S. PATENT DOCUMI	ENTS				
	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLAS	s FILIN	FILING DAT	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE